

# SPEAKER INFORMATION

(Alphabetical Order)

## David Adams

Executive Vice President/Specialty Underwriting  
GMAC Re  
6000 Midlantic Drive  
Mt. Laurel, NJ 08054  
Phone: 856-359-2510  
Fax: 856-778-8971  
E-Mail: [Dave.Adams@gmacfs.com](mailto:Dave.Adams@gmacfs.com)

David L. Adams is Executive Vice President Facultative of GMAC RE Corporation. As a member of the Senior Management group, Mr. Adams' duties include overseeing production, underwriting and administration of Program, Facultative and Alternative Market business segments. He earned his Bachelor in Business Administration degree in 1979 from University of Iowa. He also earned a Master of Business Administration degree from North Texas State in 1985. Adams holds the designation of CPCU - Chartered Property Casualty Underwriter.

Before joining GMAC RE Corporation, Adams was associated with Reliance Reinsurance Corporation as Vice President, and prior to that he was with North American/Swiss Re as Assistant Vice President.

## Steve Baker

Sr. Manager, Risk Management  
Honda North America, Inc.  
700 Van Ness Ave.  
Torrance, CA 90501-1490  
Phone: 310-781-4508  
Email: [Steve\\_L\\_Baker@hna.honda.com](mailto:Steve_L_Baker@hna.honda.com)

Soon after acquiring his Master of Business Administration degree from the University of Southern California, Steve began his career in risk management at Fluor Corporation in 1974. Steve's 11-year career at Fluor, an international engineering and construction firm, included field assignments of two years in Alaska and 18 months in Venezuela. While at Fluor's headquarters in Southern California, Steve was responsible for Property and Casualty insurance programs as well as specialty lines such as D&O, Political Risk, and Control of Well (related to oil and gas exploration and production) coverages.

Moving in 1985 to Wickes Companies, with its diversified retailing and manufacturing interests, Steve was the Director of Risk Management. He was responsible for all areas of Property, Casualty and Marine insurance. Wickes was a sponsor company of XL Insurance in Bermuda and Steve served as a director on XL's board during its early years.

Steve joined Honda North America in 1989 to head its risk management department. He is responsible for all Property, Casualty and Marine insurance programs for Honda's manufacturing, R&D, retail and wholesale finance, and sales and distribution activities in the U.S. Steve was a key participant in Honda's development of its current practices, systems and procedures that have yielded substantial success in the management of product liability claims.

## David Brooker

Vice President, Advanced Markets  
Prudential Financial, Inc.  
8484 Georgia Avenue, Suite 300  
Silver Spring, MD 20910  
Office: 301.562.7821  
Mobile: 202.494.2646  
E-Mail: [david.brooker@prudential.com](mailto:david.brooker@prudential.com)

David has been with Prudential for over ten years and in the Group Insurance Industry for over 15 years. He has held various positions within Prudential's Group Insurance business, with increasing degrees of responsibility. In his current role, David is responsible for Prudential's Advanced Markets Group. The product solutions through the Advanced Markets group include international benefits, captive financing, reinsurance, cash value life insurance including executive benefits and retiree funding alternatives. David is also responsible for managing Prudential's international partnerships with IGP and Insurope. David earned a Bachelor of Science and Arts degree from Georgetown University in Washington, D.C. He is an Advisory Board Member for IGP and a Marketing Committee Member for Insurope.

## Andrew Cavenagh

Senior Vice President  
Garnet Captive & Berkley Risk  
222 South 9th Street  
Suite 1300  
Minneapolis, MN 55402  
Phone: 612-766-3966  
Email: [ACavenagh@berkleyrisk.com](mailto:ACavenagh@berkleyrisk.com)

Andrew Cavenagh is a Senior Vice President of Berkley Risk Administrators Company, LLC and a member of its Executive Committee. Andrew is also President of Garnet Captive Services, LLC. BRAC and GCS are both member companies of the W. R Berkley Corporation.

BRAC is an alternative risk and program management company, offering policy issuing carrier capabilities and/or administrative services to a number of captives, group captives, Risk Retention Groups, self-insured groups, and municipal pools. BRAC is located in Minneapolis, MN.

GCS is a captive consulting firm specializing in group captives. GCS is located in San Francisco, CA.

Andrew has extensive experience with a variety of captive structures, including both direct and fronted programs, group captives, single parent captives, segregated cell companies, and MGA programs.

Andrew attended Swarthmore College and has a B.A. in Economics.

## **Joel S. Chansky, FCAS, MAAA**

Principal  
Milliman, Inc.  
289 Edgewater Drive  
Wakefield, MA 01880  
Phone: 781-213-6237  
Fax: 781-213-6201  
Email: [joel.chansky@milliman.com](mailto:joel.chansky@milliman.com)

Joel is a Principal and Consulting Actuary with the Boston office of Milliman, Inc., having joined the firm in 1987. In addition to his proper/casualty insurance experience, Joel also has considerable expertise in the areas of investments and taxes from prior work experience. Joel specializes in alternative market issues and assisted with the formation and/or ongoing actuarial needs of many self-insurers, captive insurers and risk retention groups. He reviews captive and RRG applications for the Vermont Department of Banking, Insurance, Securities, and Healthcare Administration. Joel is a member and has served on Boards of Directors of several captive-related associations, as well as Past Chair of the Casualty Actuarial Society Joint Program Committee for the CIA/CAS Appointed Actuary Seminar. In addition, he is a designated Fellow with the Casualty Actuarial Society and a member of the American Academy of Actuaries. He is a frequent speaker on the topics of captives, RRGs and alternative risk financing.

## **S. David Childers, Esq.**

Low & Childers, P.C.  
2999 North 44th Street, Suite 250  
Phoenix, AZ 85018  
Phone: 602-266-1166  
Fax: 602-808-8835  
Email: [dchilders@lowchilders.com](mailto:dchilders@lowchilders.com)

Mr. Childers, co-founder of the law firm of Low & Childers, lectures nationally on insurance issues, and practices primarily in the area of insurance regulation. Prior to his entry into private practice, Mr. Childers served as Director of the Arizona Department of Insurance for three and one-half years. While serving as a state insurance regulator, he was actively involved in the leadership of the National Association of Insurance Commissioners by serving on its Executive Committee, chairing the Workers Compensation Task Force, the Accident & Health Committee and chairing the Western Zone of the NAIC for two years. Additionally, Mr. Childers has served on the U.S. Secretary of Health & Human Services' Task Force on Long-Term Care Policies, the Stanford Research Institute Long-Term Care Advisory Board, Governor's Private Sector Task Force on Long Term Care and is currently a member of the University of Arizona College of Business and Public Administration National Board of Advisors. Mr. Childers worked for eight years as a Corporate Counsel and Manager for the Risk Management Department at Salt River Project.

Mr. Childers is a graduate of Louisiana State University where he received his Bachelor of Science degree in Electrical Engineering in 1969 and his Juris Doctor degree in 1976.

## **Charles R. Cohen, Esq.**

Barger & Wolen, LLP  
201 North Central Avenue, Suite 3300  
Phoenix, AZ 85004-1052  
Phone: 602-256-2888  
Fax: 602-256-2893  
Email: [Ccohen@barwol.com](mailto:Ccohen@barwol.com)

Charles Cohen served as Arizona Director of Insurance from 1998 to 2003 under two consecutive gubernatorial appointments. Prior, he served as legal counsel to the Department of Insurance as an Assistant Attorney General from 1987 to 1992, and as a member of the Department's executive staff commencing in 1992, including as Deputy Director from 1995 to 1998. As Director, he was instrumental in the enactment of Arizona's Captive Insurance Act, and he established the Captive Insurance Division within the Department. He is currently a partner in the Phoenix office of Barger & Wolen LLP practicing primarily in the areas of insurance industry regulation, business, and corporate matters, administrative law, and captive insurance formations and business. Mr. Cohen serves as a member of the Board of Directors of the Arizona Captive Insurance Association. He has participated as a speaker at numerous seminars and educational events regarding various insurance regulation and industry topics.

## **Timothy K. Collins**

Technical Advisor - Captive Insurance  
Internal Revenue Service  
One Montvale Avenue  
Stoneham, MA 02180-3564  
Phone: 781-835-4029  
Fax: 781-835-4040  
Email: [timothy.k.collins@irs.gov](mailto:timothy.k.collins@irs.gov)

Mr. Collins is the technical advisor for Captive and Offshore Insurance Transactions in the Pre-Filing and Technical Guidance Office of the Large and Mid-Size Business Examination Division of the IRS. Prior to this assignment, he was a Senior Team Coordinator specializing in property casualty insurance audits in the Large Case Program. He received his B.S. in Accounting from Boston College, his M.S. in Taxation from Bentley College, and a CPCU designation from the American Institute for Chartered Property Casualty Underwriters.

## **Mark Dame**

Chief Operating Officer  
Unity Physician Group, PC  
1155 West 3rd Street  
Bloomington, IN 47401  
Phone: 812-333-2731  
Email: [mdame@unitypg.com](mailto:mdame@unitypg.com)

Mark Dame, MHA, CPHRM, FACHE, is the Vice President of Risk Management and Insurance Services for Unity Physician Group and Chief Operating Officer for Medical Insurance Underwriters, RRG and an off-shore captive. He has over 20 years of healthcare management and consulting experience. He serves as the ASRHM chair of the Advocacy Committee; an ACHE network Board Member; and lecturer at Indiana University. He will be a featured speaker on Alternative Risk Management at ASHRM's Annual Meeting in Boston in the Fall.

## **Brian C. Donovan**

President

STICO Mutual Insurance Company, a Risk Retention Group  
171 West Wing Street- Suite 208  
Arlington Heights, IL 60005-1433  
Phone: 866.527.1447  
Fax: 866.210.2639  
Email: [brian@stico.org](mailto:brian@stico.org)

Mr. Donovan has over thirty-five years experience in a variety of industries and businesses including steel manufacturing, petroleum equipment service, trade association management and liability insurance.

Over the past twenty years, he has simultaneously served as Chief Executive Officer or on the Board of Directors of nine organizations.

Since 1988, Mr. Donovan served as president of a property and casualty insurance company (STICO Mutual Insurance Company) which writes general liability and pollution liability insurance for pressure vessel manufacturers, petroleum tank manufacturers and petroleum equipment installers. In that capacity, he has been responsible for underwriting, claims management, reinsurance program structure and purchasing and investment management.

## **Patrick Ferrell**

Senior Vice President - Underwriting

Elite Transportation RRG, Inc.

212 W Route 38, Suite 700

Moorestown, NJ 08057

Phone: (856) 985-9172 x102

Cell: (856) 296-9022

Fax: (856) 596-2218

Email: [patrickf@kciinsurance.com](mailto:patrickf@kciinsurance.com)

Patrick began his career over 25 years ago with Aetna C&S. Since that time, he has been employed primarily with national carriers including Reliance, Zurich and AIG in various marketing and underwriting management positions.

From 1997 to 2001 Patrick was the Underwriting Vice President for AIG's Transportation Unit in Philadelphia with marketing and underwriting responsibilities for the Atlanta and Philadelphia regions. He grew the business from less than \$20 million to over \$100 million.

In October of 2001, he joined KCI as a producer and large account expert. In his first year, he produced over \$5 million in new business. Patrick developed a new excess program that resulted in more than \$3 million in new premium to the agency.

At the request of a self-insured Workers Compensation group administered by KCI, Patrick set up Elite Transportation RRG for Auto Liability coverage in May of 2005. It has grown to over \$7 million in written premium from 13 insured trucking companies.

In July of 2007, Patrick started development of Carrier Solutions, a healthcare RRG, which expects to write its first policy in June of 2008.

## **Lee Filener**

Senior Account Manager

Marsh

3131 East Camelback Road, Suite 400

Phoenix, Arizona 85016

Phone: 602-337-6302

Fax: 602-337-6399

E-mail: [lee.filener@marsh.com](mailto:lee.filener@marsh.com)

Lee joined Marsh Management Services as an Account Manager in 2005. He was selected in 2006 to become the Senior Account Manager for the Arizona clients, transferring to Phoenix in January of 2007.

Previously, he was with Marsh USA Inc. in Portland, Oregon for 5 years. As a Senior Account Manager in the Phoenix office of Marsh Management Services Inc., Lee is responsible for the day-to-day management of various captive insurance companies. His duties including oversight of the treasury functions, preparation of financial statements, coordination of audits, both financial and regulatory, and assessment of compliance with state insurance regulations and requirements in a timely and cost effective manner. He is also responsible for client awareness of developments in the captive industry enabling them to maximize the effectiveness of their insurance company.

Lee received his BA Degree from Western State College of Colorado and has extensive additional training in various fields, including HR, Insurance, and Management.

## **Barry Franklin, FCAS, MAAA**

Group Managing Director, Americas

Aon Global Risk Consulting

200 E. Randolph Street, 20th Floor

Chicago, IL 60601

Phone: 312-381-3920

Fax: 312-381-6949

Email: [barry\\_franklin@ars.aon.com](mailto:barry_franklin@ars.aon.com)

Barry is Group Managing Director, Americas of Aon Global Risk Consulting, the professional consulting arm of Aon Risk Services in the Americas. He is responsible for executive management of the various AGRC practices including actuarial & analytics, risk management consulting and outsourcing, enterprise risk management, and alternative risk financing. Barry also chairs Aon's Enterprise Risk Management Global Practice Council. Previously, he led Aon's dynamic financial analysis practice and served as Aon's U.S. casualty actuarial practice leader. Barry's experience includes traditional actuarial pricing and reserving roles, extensive non-traditional actuarial work such as enterprise risk modeling, and a variety of consulting engagements ranging from M&A due diligence to expert testimony. In all, Barry has more than twenty-five years of actuarial experience. He holds a BS in Probability & Statistics, with a minor in Economics from Northern Illinois University. He is a Fellow of the Casualty Actuarial Society and member of the American Academy of Actuaries.

## **Jon Harkavy**

Executive Vice President & General Counsel  
Risk Services LLC  
2233 Wisconsin Avenue, N.W.  
Suite 310  
Washington DC 20007  
Office: 202-471-5944  
Fax: 202-471-5947  
Email: [jharkavy@riskservcos.com](mailto:jharkavy@riskservcos.com)

Jon Harkavy, Executive Vice President and General Counsel for Risk Services LLC, has for many years been a strong advocate in the legal and political arena on behalf of our clients and the alternative insurance market community. He served for eight years as Director of Governmental Affairs and General Counsel to the Risk and Insurance Management Society (RIMS) during which time Jon played a key role in the enactment of the Federal Liability Risk Retention Act Amendments of 1986. Jon has served as President of the Vermont Captive Insurance Association (VCIA), and is also former Director of the National Risk Retention Association (NRRRA), a past Chairman of the American Bar Association's Self Insurers and Risk Managers Committee and former President of the Coalition of Alternative Risk Funding Mechanisms (CARFM). His firm, Risk Services, LLC has formed and managed more RRG's over the last four years than any other broker or captive manager.

## **Terry R. Holley**

Senior Vice President, Marketing  
EWI Risk Services, Inc.  
Risk Solutions and Intermediary Services  
One Lincoln Centre, Suite 1060  
5400 LBJ Freeway  
Dallas, TX 75240  
Office: 972.560.0661  
Fax: 972.866.6801  
mobile: 214.924.1657  
Email: [trh@ewiretx.com](mailto:trh@ewiretx.com)

Terry Holley serves as a Senior Vice President-Marketing of EWI Inc. and works with various primary and reinsurance clients developing efficient risk solutions and providing strategic counsel. Prior to joining EWI, Inc. in 2001 as an Assistant Vice President, Terry served as a Senior Account representative for E.W. Blanch Company now a part of the Benfield Group where he began his career in the insurance industry after graduating the University of Texas at Arlington School of Business Administration.

## **Thomas M. Jones, Esq., PC**

McDermott, Will & Emery LLP  
227 West Monroe Street  
Chicago, IL 60606-5096  
Phone: 312-984-7536  
Fax: 312-984-7700  
Email: [tjones@mwe.com](mailto:tjones@mwe.com)

Thomas M. Jones is a partner in the law firm of McDermott Will & Emery LLP. He is a member of the Firm's Tax Department and his practice focuses on federal and state tax, insurance, regulatory and legal matters concerning "captive" insurance and other alternatives to commercial insurance. Tom has extensive transactional experience in all major U.S. and offshore "captive" insurance jurisdictions, including AZ, D.C., HI, SC, and VT, as well as Barbados, Bermuda, the British Virgin Islands and the Cayman Islands.

Tom is a frequent guest speaker at international seminars and conferences, making numerous offshore and onshore presentations on "captive" insurance and related topics.

In 2001, Tom was a recipient of a special recognition award for captive insurance expertise bestowed by the Cayman Islands Government. He was also honored by the VCIA as the recipient of its 2005 Industry Service Award and received the highest rating of "AV" for legal ability and ethics from the independent Martindale-Hubbell peer review rating system. Earlier this year, Tom received the 2008 CICA Distinguished Service Award for contributions to the captive industry.

Tom has served as the corporate legal adviser to the New York World Trade Center Liability Captive since 2004.

## **Brian J. Kirkell, Esq.**

Sr. Manager, Tax Services Group  
Crowe Chizek and Company LLC  
105 Continental Place, Suite 200  
P.O. Box 1529  
Brentwood, TN 37027-1529  
Phone: 615-360-5500, ext. 5603  
Email: [bkirkell@crowechizek.com](mailto:bkirkell@crowechizek.com)

Brian Kirkell is a Senior Manager with the Tax Services Group of Crowe Chizek and Company LLC in Nashville, Tennessee. Brian has more than eight years of experience providing federal, state and local, and international tax consulting services in various industries including insurance, and is Crowe's lead technical advisor in captive insurance matters. Brian received his BA from the University of Rochester and his JD from the George Washington University School of Law. Brian writes and speaks frequently on numerous tax topics, and is admitted to practice law in Tennessee and before the United States Tax Court.

## **Yves Lapointe**

Financial Advisor  
Merrill Lynch  
6730 N. Scottsdale Rd, Suite 150  
Scottsdale, AZ 85253  
Phone: 480-607-8826  
Toll free: 877-607-8826  
Fax: 602-281-4422  
Email: [yves\\_lapointe@ml.com](mailto:yves_lapointe@ml.com)

Yves has been in the financial services industry for 17 years. Prior to joining Merrill Lynch in 2004, he was an advisor and consultant in Scottsdale as well as an institutional fixed income broker in Toronto, Canada. He earned his Bachelor degree in Political Science from Concordia University in Montreal. His business is currently focused on providing investment advisory services to the alternative risk insurance market.

## **Charles (Chaz) J. Lavelle, Esq.**

Greenebaum Doll & McDonald PLLC  
3500 National City Tower  
101 South Fifth Street  
Louisville, KY 40202-3103  
Phone: (502) 587-3557  
Email: [cjl@gdm.com](mailto:cjl@gdm.com)

Chaz is an attorney with Greenebaum Doll & McDonald PLLC. He has an extensive Federal tax controversy experience, representing taxpayers at all levels on a wide variety of Federal tax issues, including captive insurance tax issues. He was Tax Counsel in two captive insurance tax decisions: Humana Inc. v Commissioner in the Sixth Circuit (involving the "brother-sister" issue) and Ocean Drilling & Exploration Company v. United States in the Federal Circuit (involving "outside business"). He also counsels clients in the formation, operation and taxation of captive insurance companies. He is a frequent lecturer, including numerous presentations on captive insurance taxation. He is a Fellow in the American College of Tax Counsel. He has held leadership positions in numerous bar, civic and charitable organizations including being a past Chairman of the Board of the Kentucky Chamber of Commerce. He received his B.S. with honors from the University of Notre Dame, his law degree from the University of Kentucky, and his LL.M. in Taxation from New York University.

## **Jim Mahoney**

VP Risk Litigation and Counsel  
Swift Transportation Corporation  
2200 South 75th Avenue  
Phoenix, AZ 85043  
Phone/Fax: 602.477.3501  
Email: [jim\\_mahoney@swifttrans.com](mailto:jim_mahoney@swifttrans.com)

Jim has been a civil trial attorney for the last twenty-plus years and is licensed to practice law in New York, New Jersey and Arizona, with a concentration on litigating bodily injury claims of varied origin. Jim has tried many cases to juries in numerous state and federal courts.

He also has enjoyed defending Workers' Com claims on behalf of the New York State Insurance Fund, and was employed for more than twelve years by The PMA Group, Philadelphia and The St. Paul Fire and Marine Companies as claims counsel. In 2003 in an effort to control costs, Jim was asked to join Swift and run its 100 person claims office. Over the last few years insurance and claims exposures for both AL and WC have decreased significantly at Swift.

Jim's experience with captives started with his tenure at Swift, about 4 years ago. The idea of a captive was broached to senior management, who regarded it initially as one would expect truckers to respond - using a ten foot pole. Jim and his brokers championed the process and it resulted in Mohave Transportation Insurance Co., Inc. being licensed by Arizona in June 2006. Mohave anticipates first year premiums of more than 50M. Management has almost broken a smile.

## **David L. Mair**

Director, Client Relations  
MEDEX Global Group  
8501 LaSalle Road, Ste. 200  
Towson, MD 21286  
Tel. 410-453-6367  
Cell 443-414-8424  
Email: [dmair@medexasist.com](mailto:dmair@medexasist.com)

David Mair brings a rich and diverse risk management experience to the travel assistance arena. Over a successful 15-year career as director of risk management for the United States Olympic Committee, David was responsible for the care and protection of America's best athletes. From medical to security needs, David oversaw the activities of teams and athletes in seven Olympic Games and over 100 World Championship events.

Mr. Mair is a widely recognized speaker and author. He has testified before Congress, the Occupational Safety and Health Administration and over a dozen state legislatures, and his comments have appeared in the New York Times, Washington Post, Business Insurance, and on National Public Radio. He has spoken in over two dozen countries on risk management issues.

David is currently Director of Client Relations for MEDEX Global Group, where he brings his years of experience to the MEDEX Critical Incident Response Team. A leader in the travel assistance industry for nearly 30 years, MEDEX currently serves more than 8 million travelers in over 210 countries and territories around the world. Operating a 24 hour multilingual

Emergency Response Center, MEDEX helps travelers with everything from lost luggage and passports to complex medical and security evacuations.

David is a former President of the Risk and Insurance Management Society and served eight years on its Executive Council. He also served two terms as President of the Nonprofit Risk Management Center.

## **Nicholas A. Parillo**

Vice President, Global Insurance  
Ahold / MAC Risk Management, Inc.  
45 Dan Road  
Canton, MA 02021  
Phone: 781-298-2661  
Fax: 781-348-8750  
Email: [nicholas.parillo@aholdusa.com](mailto:nicholas.parillo@aholdusa.com)

Mr. Parillo is a 1971 graduate of St. John's University, New York. He has over 35 years of insurance and risk management experience. He is currently Vice President Global Insurance for Royal Ahold, as well as President of MAC Risk Management, Inc. and The MollyAnna Company, based in Canton, Massachusetts, which provide claims and risk management products and services to Ahold USA, a subsidiary of Royal Ahold, N.V. Mr. Parillo is also Chairman of the Vermont Captive Insurance Association.

## **Joseph Pieksza, CPA**

Sr. Audit Manager  
Saslow, Lufkin & Buggy, LLP  
Ten Tower Lane  
Avon, CT 06001  
Phone: 860-678-9200 (Ext.312)  
Fax: 860-678-9202  
Email: [jpieksza@slbcpa.com](mailto:jpieksza@slbcpa.com)

Joe is a CPA and a Senior Audit Manager with Saslow Lufkin & Buggy, LLP. Prior to joining the Firm Joe began his career with Ernst & Young, LLP. Since joining Saslow Lufkin & Buggy, LLP in 2000, Joe has specialized in providing assurance and attestation services to the Firm's property and casualty insurance clients, including single parent captives, risk retention groups, sponsored cell captives and traditional insurers located in several domiciles. Joe has significant expertise with the financial aspects of a captive's operations and financial reporting requirements, including generally accepted accounting principles and statutory accounting principles. Joe is a member of the American Institute of Certified Public Accountants, the Connecticut State Board of Public Accountancy and an associate of the Association of Certified Fraud Examiners.

## **William R. Powell**

Manager, Risk Management  
SRP Captive Risk Solutions, Ltd.  
P. O. Box 52025  
Phoenix, AZ 85072  
Phone: 602-236-8105  
Fax: 602-236-8116  
Email: [wrpowell@srpnet.com](mailto:wrpowell@srpnet.com)

Bill Powell is Manager, Risk Management, at the Salt River Project in Phoenix, AZ. SRP, formed in 1903 as the nation's first major multipurpose reclamation project, is today the third largest public utility in the United States serving over 750,000 electric and water customers throughout central AZ. SRP operates or is a participant in seven major power plants including thermal, nuclear and hydroelectric sources.

Bill is a graduate of West Virginia University with Master of Science and Bachelor of Science degrees. He is a Certified Safety Professional (CSP), and has the Associate in Risk Management (ARM) designation. He is a member of the Associated Electric & Gas Insurance Services (AEGIS) Risk Management Advisory Committee and Chairman of the Energy Insurance Mutual (EIM) Insurance Advisory Committee. In 1997, Bill was named to the Business Insurance Risk Manager Honor Roll and in 1999 was selected to participate in the Risk & Insurance Management Society's Risk Manager in Residence Program.

Bill has been with Salt River Project in various risk management capacities since 1978, and oversees a department of 35 professionals in Insurance, Fire Protection, Workers' Compensation, Employee/Public Safety, Security and Environmental Safety/Health Auditing, and Business Continuity & Emergency Management.

## **Michael Serricchio**

Vice President  
Marsh  
Captive Solutions  
1166 Avenue of the Americas  
New York, NY 10036  
Phone: 212-345-4997  
Email: [Michael.Serricchio@marsh.com](mailto:Michael.Serricchio@marsh.com)

Mike assists clients with the organization of single parent and association captives, as well as risk retention groups and Rent-A-Captives. Mike also participates in captive strategic reviews for clients that already have captives. Mike is frequently involved with reviewing merger and acquisition transactions where there are one or more captive subsidiaries and advises on various issues. He handles a wide range of consulting services surrounding various captive issues and concerns, such as capitalization levels, new coverages, tax concepts, captive investment opportunities, and cost savings.

Prior to joining Marsh, Mike was a Senior Tax Associate with KPMG, LLP, a Big 4 accounting firm. During that time Mike was the primary Senior Associate responsible for legal and tax consulting work, specifically relating to consulting on tax matters for captive insurance companies and commercial insurance companies.

Mike received his Juris Doctorate from Western New England College School of Law and a Dual B.A. in Criminology and Psychology from the University of Connecticut.

Mike is affiliated with the following organizations: Licensed Property and Casualty Insurance Producer, Connecticut; Vermont Captive Insurance Association, Member; American Bar Association; Connecticut Bar Association; Connecticut Trial Lawyers Association.

## **Derick E. Smith**

Director, Captive Insurance  
Spectra Energy Corp.  
P. O. Box 1642  
Houston, TX 77251-1642  
Phone: 713-627-4945  
Fax: 713-386-4428  
Email: [desmith@spectraenergy.com](mailto:desmith@spectraenergy.com)

Derick is Director of Captive Insurance at Spectra Energy. Derick manages the operations of Spectra Energy's two captive insurance companies, Alpha Insurance and Westcoast Indemnity, and the finance functions of the risk management and insurance group. Spectra Energy (NYSE: SE), one of North America's premier natural gas infrastructure companies, consists of gathering and processing, transmission and storage, and distribution businesses.

Previously, Derick served as Assistant Controller for Westcoast Energy Inc., Spectra Energy's Canadian integrated natural gas and natural gas liquids company.

Derick joined Spectra Energy (formerly Duke Energy Gas Transmission) in Houston in 2002 as Reports Manager (SEC & FERC filings), after many years with Arthur Andersen as Audit Manager. He is a Certified Public Accountant.

A native of Houston, Derick received an accounting degree from the University of St. Thomas and a Master of Business Administration in Finance from the University of Houston-Clear Lake.

Derick is a member of the American Institute of Certified Public Accountants, Texas Society of CPAs and Risk and Insurance Management Society, Inc.

## **Mark Tabler**

Chief Operating Officer  
Innovative Physician Solutions, RRG  
2600 Sandcrest Dr.  
Columbus, IN 47203  
Phone: 812-348-4000 x404  
Fax: 812-348-4009  
Email: [markt.ips.mcp@sbcglobal.net](mailto:markt.ips.mcp@sbcglobal.net)

Mark has over 20 years experience in the insurance industry holding a variety of directorships in product development and design. Mark is the COO of the medical professional liability risk retention group, Innovative Physician Solutions (IPS). He is also the Executive Director of the RRG's parent company, Multi County Physicians (MCP). MCP is an association of approximately 390 physicians located throughout south central Indiana.

Mark was the lead in the formation of the RRG, IPS in 2004. He provided leadership in the selection of the captive management firm, corporate legal representation, litigation representation, committee development and selection, and the claims TPA. Mark is currently continuing to oversee company operations.

Over the years, Mark has held positions of leadership and sales with major insurance companies and TPA's, including the medical malpractice industry. One responsibility was designing employer benefit programs earning him President's Club distinction. Mark currently holds the following insurance licenses: property/casualty, life/health and LTC.

## **William J. Thompson, FSA, MAAA**

Principal and Consulting Actuary  
Milliman, Inc.  
80 Lamberton Road  
Windsor, CT 06095  
Phone: 860-687-0124  
Fax: 860-687-2111  
Email: [bill.thompson@milliman.com](mailto:bill.thompson@milliman.com)

Bill is a Principal and Consulting Actuary in the Hartford office of Milliman, Inc. He directs the healthcare consulting practice in that office and has been with the firm since 1988. He has more than 36 years of actuarial experience, nearly all of it in healthcare.

Bill's consulting practice focuses on strategic and operational solutions to challenges in all areas of healthcare. His clients include insurers, HMOs, employers, hospitals, physician organizations, regulators, medical device manufacturers, and others.

As the Independent Fiduciary for the first two Prohibited Transaction Exemptions (PTE) granted by the Department of Labor (DOL) that allow employee benefits to be reinsured into a captive, Bill has been involved with the use of captives for employee benefits for several years. He also serves as the independent fiduciary for the majority of the transactions that have been filed subsequent to the approval of the first two PTEs. He also works with the first risk retention group (RRG) established specifically for employee benefits. Bill also assists employers in evaluating the efficacy of the use of their captive for funding employee benefits.

Bill is author of a chapter in the fourth edition of the Group Insurance textbook. He directed Milliman's research on the cost of the Medicare Modernization Act and appeared on CNBC and CNN as Milliman's spokesperson.

## **Christina Urias**

Director of Insurance  
Arizona Department of Insurance  
2910 North 44th Street, Second Floor  
Phoenix, AZ 85018-7256  
Phone: 602-364-3731  
Email: [mscheiner@azinsurance.gov](mailto:mscheiner@azinsurance.gov)

Governor Napolitano first appointed Christina Urias as Director of the Arizona Department of Insurance in November 2003 and recently reappointed her to a six-year term (2006-2012). Prior to her appointment, Ms. Urias was a partner at the law firm of DeConcini McDonald Yetwin & Lacy, P.C. (1989-2003). She maintained a broad-based litigation practice, primarily, involving insurance defense and consumer protection matters.

From 1973 to 1985, before becoming an attorney, Ms. Urias worked in the insurance industry as a property and casualty claims representative, where her responsibilities included coverage analysis, monitoring litigation strategies, investigation, negotiation and settlement of general, automobile, property and product liability claims.

A member of a seventh generation Tucson Pioneer family, she is also fluent in Spanish. She received her B.A in philosophy (With Distinction) from the University of Arizona (1972) and graduated Cum Laude from the University of Arizona College of Law (1988). Ms. Urias clerked for then Chief Justice Frank X. Gordon, Jr., of the Arizona Supreme Court from 1988-1989. The Arizona Bar Foundation named Ms. Urias as one of the Top 50 Pro Bono Attorneys in Arizona and in 1997 awarded her The Pro Bono Service Award for her outstanding volunteer legal services to the community.

## **Ann W. Wick, CPA**

President  
Strategic Risk Solutions (Arizona), Inc.  
6619 N. Scottsdale Road, Suite # 8  
Scottsdale, AZ 85250  
Phone: 602-903-0318  
Email: [Ann.Wick@strategicrisks.com](mailto:Ann.Wick@strategicrisks.com)

Ann is responsible for the oversight of SRS' clients and staff in Arizona and other western domiciles.

Ann has been a captive manager since 1987 and joined SRS from AIG, where she had been employed since 1994. In her last position, she was responsible for the overall marketing and management activities of AIG Insurance Management Services, Inc. Prior to AIG, Ann held leadership positions with the Vermont offices of Marsh and McLennan and Frank B. Hall.

Ann has formed and managed the following types of captives during her career: Sponsored, Association, Industrial Insured, Single Parent, Branch and Risk Retention Groups. She has worked with clients from most industries including banking and finance, oil, medical, construction, transportation, professional services, food, entertainment and telecommunications.

Ann is a Director and Vice President of the Arizona Captive Insurance Association and is a past President of the Vermont Captive Insurance Association.

Ann received her CPA designation in 1984 and graduated from Champlain College in Burlington, VT.